

2017 Compliance Module 1: Ethics: Post Test Questions

NOTE: Questions created by CMS

1. Compliance is the responsibility of the Compliance Officer, Compliance Committee, and Upper Management only.

True or False?

2. Ways to report a compliance issue include:
 - A. Telephone hotlines
 - B. Report on the Sponsor's website
 - C. In-person reporting to the Compliance Department/supervisor
 - D. All of the above
3. What is the policy of non-retaliation?
 - A. Allows the Sponsor to discipline employees who violate the Code of Conduct
 - B. Prohibits management and supervisor from harassing employees for misconduct
 - C. Protects employees who, in good faith, report suspected non-compliance
 - D. Prevents fights between employees
4. These are examples of issues that can be reported to a Compliance Department:
 - A. Suspected Fraud, Waste, and Abuse (FWA)
 - B. Potential health Privacy violation
 - C. Unethical behavior/employee misconduct

True or False?

5. Once a corrective action plan begins addressing non-compliance or Fraud, Waste, and Abuse (FWA) committed by a Sponsor's employee or First-Tier, Downstream, or Related Entity's (FDR's) employee, ongoing monitoring of the corrective actions is not necessary.

True or False?

6. Medicare Parts C and D plan Sponsors are not required to have a compliance program.

True or False?

7. At a minimum, an effective compliance program includes four core requirements.

True or False?

8. Standards of Conduct are the same for every Medicare Parts C and D Sponsor.

True or False?

9. Correcting non-compliance _____ . (Select the correct answer to fill in the blank.)
- A. Protects enrollees, avoids recurrence of the same non-compliance, and promotes efficiency
 - B. Ensures bonuses for all employees
 - C. Both A. and B.
10. What are some of the consequences for non-compliance, fraudulent, or unethical behavior?
- A. Disciplinary action
 - B. Termination of employment
 - C. Exclusion from participation in all Federal health care programs
 - D. All of the above